
ĐỀ CƯƠNG ÔN THI TỐT NGHIỆP KHOÁ K19 (2013-2017)
NGÀNH TÀI CHÍNH NGÂN HÀNG CHUẨN PSU - TRÌNH ĐỘ ĐẠI HỌC
MÔN KIẾN THỨC CHUYÊN NGÀNH (2 TÍN CHỈ)

- DISCRIPTION

Major contains basic knowledge of two subjects: Financial Investment (PSU FIN 402) and Financial markets and institutions (PSU FIN 401).

- OBJECTIVES

• The objectives of the Financial markets and institutions exam to test students something below:

- Understand the functions of the State bank of Viet Nam and the tools used to study the impact on the economy

- Understand the different aspects of money market, bond, securities and foreign exchange, Understand the design of efficient market as it relates to the valuation of shares.

- Assess performance of commercial banks and financial institutions, the way the commercial bank risk management.

- Understand the risks faced by financial institutions and risk management on balance sheet and off balance sheet

• The objectives of the Financial Investment exam to test students something below:

- Explain essential and intermediate concepts of investment theory and valuation;

- Perform technical and fundamental analysis to value global financial investment assets;

- Analysis the intrinsic and market value of a publicly traded company.

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- TEST FORM: Multiple choice + Exercises

- TIME: 120 minutes

- LANGUAGE: English

- CONTENTS:

PART 1: FINANCIAL MARKETS AND INSTITUTIONS (PSU-FIN 401)

Lesson 1: MONEY MARKETS

1.1 TREASURY BILLS

1.2 FEDERAL FUNDS/INTER-BANKS

- 1.3 COMMERCIAL PAPERS
- 1.4 REPURCHASE AGREEMENTS
- 1.5 NEGOTIABLE CERTIFICATES OF DEPOSIT
- 1.6 BANKER'S ACCEPTANCES
- 1.7 COMPARISON OF MONEY MARKET SECURITIES

Lesson 2: BOND MARKETS

- 2.1 TREASURY NOTES AND BONDS
- 2.2 MUNICIPAL BONDS
- 2.3 CORPORATE BONDS
- 2.4 COMPARISON OF BOND MARKET SECURITIES

Lesson 3: STOCK MARKETS

- 3.1 STOCK MARKETS SECURITIES
- 3.2 PRIMARY STOCK MARKETS
- 3.3 SECONDARY STOCK MARKETS
- 3.4 OTHER ISSUES PERTAINING TO STOCK MARKETS

Lesson 4: FOREIGN EXCHANGE MARKETS

- 4.1 OVERVIEW OF FOREIGN EXCHANGE MARKETS
- 4.2 FOREIGN EXCHANGE RATES AND TRANSACTIONS
- 4.3 INTERACTION OF INTEREST RATES, INFLATION, AND EXCHANGE RATES

Lesson 5: COMMERCIAL BANKS

- 5.1 COMMERCIAL BANK OVERVIEW
- 5.2 COMMERCIAL BANK'S FINANCIAL STATEMENTS AND ANALYSIS
- 5.3 TYPES OF REGULATION OF COMMERCIAL BANKS

Lesson 6: RISK MANAGEMENT IN FINANCIAL INSTITUTIONS

- 6.1 TYPES OF RISKS INCURRED BY FINANCIAL INSTITUTIONS
- 6.2 MANAGING RISKS ON THE BALANCE SHEET

PART II: FINANCIAL INVESTMENT (PSU-FIN 402)

Lesson 1: Introduction to Financial Investment

- 1.1 What is an investment
- 1.2 Intrinsic value versus Market price

Lesson 2: 10 Principles of Finance

- 2.1 Higher Returns Require Taking More Risk
- 2.2 Efficient Capital Markets Are Tough to Beat
- 2.3. Rational Investors Are Risk Averse
- 2.4. Supply and Demand Drive Stock Prices in the Short Run
- 2.5. When Analyzing Returns, Simple Averages Are Never Simple
- 2.6. Transaction Costs, Taxes, and Inflation Are Your Enemies
- 2.7. Time and the Value of Money Are Closely Related
- 2.8. Asset Allocation Is a Very Important Decision

2.9. Asset Diversification Will Reduce Risk

2.10. An Asset Pricing Model Should Be Used to Value Your Investments

Lesson 3: Technical Analysis

3.1 Technical versus Fundamental Analysis

3.2 Four Types of Charts for Technical Analysis

3.3 Three Methods for Interpreting the Charts

3.4 Chart Patterns

Lesson 4: Valuing a Stock

4.1 Financial statement analysis

4.2 Valuing a stock

Lesson 5: Valuing a Bond

5.1 Valuing a stock

5.2 Bond Yields

REFERENCE MATERIALS

1. Stephen G. Cecchetti – Kermit L. Schoenholtz (2011), *Money, Banking and Financial market* – 3rd Edition, McGraw-Hill

2. Marcia Millon Cornett and Anthony Saunders (2012), *Financial Market and Institutions* (5^e), McGraw-Hill

Đà Nẵng, ngày 28 tháng 02 năm 2017

Ban Giám Hiệu

Phòng Đào tạo

Khoa ĐTQT

TỔ PSU-TCKT

Nguyễn Như Hiền Hòa